

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Nojima et al.
Application No.: 10/822,441
Filed: April 12, 2004
For: CATALYST FOR REMOVING NITROGEN OXIDES

Patent No. 7,413,715 B2
Issued: August 19, 2008
Confirmation No.: 1431

Date: October 29, 2008

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

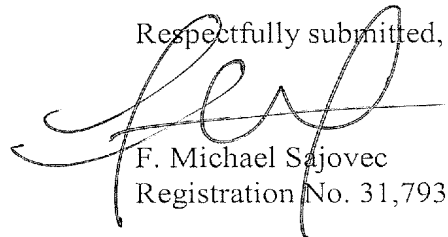
**REQUEST FOR REFUND OF FEE
UNDER 37 C.F.R. §1.26**

Sir:

Applicants respectfully submit this Request for Refund of Fees pursuant to 37 C.F.R. §1.322 in the amount of \$100.00. On September 25, 2008, Applicants filed a Request for Certificate of Correction in order to correct the mistakes incurred through the fault of the U.S. Patent and Trademark Office.

No fee is believed to be due with consideration to the (attached) Issue Fee Transmittal Form dated July 17, 2008, and Notice of Recordation of Assignment Document Reel/Frame 014819/0892. Accordingly, please credit Deposit Account No. 50-0220 in the amount of \$100.00.

Respectfully submitted,



F. Michael Sajovec
Registration No. 31,793

Customer No. 20792

Myers Bigel Sibley & Sajovec, P.A.
P. O. Box 37428
Raleigh, North Carolina 27627
Telephone: (919) 854-1400
Facsimile: (919) 854-1401

CERTIFICATION OF TRANSMISSION

I hereby certify that this correspondence is being transmitted via the Office electronic filing system in accordance with § 1.6(a) (4) to the U.S. Patent and Trademark Office on October 29, 2008.

Signature: _____

Jessica French

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

20792 7590 06/03/2008

MYERS BIGEL SIBLEY & SAJOVEC
 PO BOX 37428
 RALEIGH, NC 27627

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/822,441	04/12/2004	Shigeru Nojima	5576-159	1431

TITLE OF INVENTION: CATALYST FOR REMOVING NITROGEN OXIDES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	09/03/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
NGUYEN, CAM N	1793	422-170000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Myers Bigel Sibley

2 & Sajovec

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Mitsubishi Heavy Industries, Ltd.

Tokyo, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

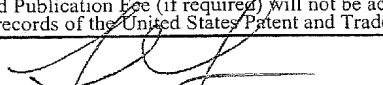
- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-0220 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature


 F. Michael Sajovec

Date July 17, 2008

Typed or printed name

Registration No. 31,793

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



700096581A

JULY 07, 2004

PTAS

MYERS BIGEL SIBLEY & SAJOVEC
F. MICHAEL SAJOVEC
P. O. BOX 37428
RALEIGH NC 27627

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/06/2004

REEL/FRAME: 014819/0892
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 5576-159

ASSIGNOR:
NOJIMA, SHIGERU

DOC DATE: 05/18/2004

ASSIGNOR:
IIDA, KOZO

DOC DATE: 05/18/2004

ASSIGNOR:
OBAYASHI, YOSHIAKI

DOC DATE: 05/18/2004

ASSIGNOR:
KIYOSAWA, MASASHI

DOC DATE: 05/18/2004

ASSIGNOR:
DEMOTO, MASANORI

DOC DATE: 05/18/2004

014819/0892 PAGE 2

ASSIGNEE:

MITSUBISHI HEAVY INDUSTRIES, LTD.
16-5, KONAN 2-CHOME
MINATO-KU, TOKYO 108-8215, JAPAN

SERIAL NUMBER: 10822441

FILING DATE: 04/12/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: CATALYST FOR REMOVING NITROGEN OXIDES, CATALYST MOLDED PRODUCT
THEREFORE, AND EXHAUST GAS TREATING METHOD, AND COMPOSITE POWER
GENERATION SYSTEM

DOROTHY WILLIAMS, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

JUL 6 2004 2:46PM

CLASS 910 954-1491

NO. 2741 P. 2

07/06/2004

700096581

ASSIGNMENT RECORDATION FORM COVER SHEET *Patents Only*

To: Commissioner for Patents:

Date: July 6, 2004

Attorney Docket No. 5376-159

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- [1.] Shigeru Nojima
- [2.] Kozo Iida
- [3.] Yoshiaki Obayashi
- [4.] Masashi Kiyosawa
- [5.] Masanori Demoto

2. Name and address of receiving party(ies):

Mitsubishi Heavy Industries, Ltd.
16-5, Konan 2-chome
Minato-ku, Tokyo
108-8215 Japan

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
- ☐ Merger
- ☐ Security Agreement
- ☐ Change of Name
- ☐ Other

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date:

- [1.] May 18, 2004 [2.] May 18, 2004 [3.] May 18, 2004 [4.] May 18, 2004 [5.] May 18, 2004

4. Application Serial No. 10/822,441 Patent No. _____

If this document is being filed together with a new application, the execution date of the application is: _____

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

F. Michael Sajovec
Myers Bigel Sibley & Sajovec
P. O. Box 37428
Raleigh NC 27627

6. Total number of applications and patents involved: _____

7. Total fee (37 CFR 3.41) \$40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 50-0220

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

F. Michael Sajovec Reg. No. 31,793

Name of Person Signing

Signature

July 6, 2004

Date

Total number of pages including cover sheet, attachments and document: 3

CERTIFICATION OF FACSIMILE TRANSMISSION

UNDER 37 CFR § 1.8

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office via facsimile number 703-306-5995 on July 6, 2004.

Betty-Loy Rosser